



2131
#10
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Houston et al.

Serial No.: 09/844,448

) Art Unit: 2131

Filed: April 27, 2001

For: System and Method for Managing
Security Events on a Network

RECEIVED

APR 17 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,

Robert T. Neufeld
Reg. No. 48,394

King & Spalding
45th Floor, 191 Peachtree Street, N.E.
Atlanta, GA 30303
404.572.4600

RECEIVED

APR 18 2002

OFFICE OF PETITIONS

K&S Docket: 05456.105005 US

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 4, 2002.

Robert T. Neufeld, Reg. No. 48,394

FORM PTO 1449, Adapted

APR 12 2002 LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

PATENT & TRADEMARK OFFICE

ATTY. DOCKET NO. 05456.105005 US

SERIAL NO.

09/844,448

FILING DATE

April 27, 2001

RECEIVED

APR 18 2002

APPLICANT
Houston, et al.

GROUP

OFFICE OF PETITIONS

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

RECEIVED

APR 17 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO
AL					
AM					
AN					
AO					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	Hyland, et al., Concentric Supervision of Security Applications: A New Security Management Paradigm Computer Security Applications Conference, 1998, pgs. 59 - 68, (1998)
AR	Koilpillai, et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pgs. 199-206, (2000)
AS	Alves-Foss, J., An overview of SNIF: a tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pgs. 94-101 (1995)
AT	Mansouri-Samani, et al., A Configurable Event Service for Distributed Systems, Configurable Distributed Systems, 1996, pgs. 210-217, (1996)
AU	International Search Report for PCT/US 01/13769, March 8, 2002

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.